On the Hausdorff spectrum of some groups acting on the *p*-adic tree

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1 Hausdorff dimension in pro-*p* groups

2 Some groups of automorphisms of the *p*-adic tree \mathcal{T}

3 Hausdorff dimension in a Sylow pro-p subgroup of Aut \mathcal{T}



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All throughout this talk, *p* denotes a *prime* number.

Let G be a finite p-group, and H a subgroup of G. Then we can use

 $\frac{\log_p |H|}{\log_p |G|}$

to measure the relative size of H inside G.

Let G be a countably based pro-p group, and $\{G_n\}_{n\in\mathbb{N}}$ a base of neighbourhoods of 1 consisting of open normal subgroups. Then

$$G\cong \varprojlim_{n\to\infty} G/G_n.$$

If H is a *closed* subgroup of G, then

$$H\cong \varprojlim_{n\to\infty} HG_n/G_n.$$

So *H* can be recovered from its images in the finite *p*-groups G/G_n .

The relative size of these images is given by

$$\frac{\log_p |HG_n:G_n|}{\log_p |G:G_n|}$$

What if we let $n \to \infty$? The limit need not exist!

Let (X, d) be a metric space, and let $Y \subseteq X$. For real $s \ge 0$ and $\delta > 0$, we define

$$\mathcal{H}^{s}_{\delta}(Y) = \inf \left\{ \sum_{i=1}^{\infty} |U_{i}|^{s} \mid \{U_{i}\} \text{ is a cover of } Y \text{ and } 0 < |U_{i}| \le \delta. \right\}$$

Here $|U_i|$ is the diameter of the set U_i .

Definition

The s-dimensional Hausdorff measure of Y is

$$\mathcal{H}^{s}(Y) = \lim_{\delta \to 0} \mathcal{H}^{s}_{\delta}(Y).$$

Let (X, d) be a metric space, and let $Y \subseteq X$. Then there exists $s \ge 0$ such that

$$\mathcal{H}^t(Y) = \begin{cases} +\infty, & \text{if } t < s, \\ 0, & \text{if } t > s. \end{cases}$$

Definition

We say that s is the *Hausdorff dimension* of Y, which we write as Hdim Y.

Let G be a countably based pro-p group, and $\{G_n\}_{n\in\mathbb{N}}$ a fundamental system of neighbourhoods of 1 consisting of open normal subgroups.

Then

$$d(x,y) = \inf \left\{ \frac{1}{|G:G_n|} \mid x \equiv y \pmod{G_n} \right\}$$

is a distance on G.

If G is a countably based pro-p group and H is a closed subgroup of G, the limit

$$\lim_{n \to \infty} \frac{\log_p |HG_n : G_n|}{\log_p |G : G_n|}$$

need not exist, but...

Theorem (Abercrombie, Barnea-Shalev) With respect to the metric induced by $\{G_n\}_{n\in\mathbb{N}}$, we have

$$\operatorname{Hdim} H = \operatorname{lim} \inf_{n \to \infty} \frac{\log_p |HG_n : G_n|}{\log_p |G : G_n|} \in [0, 1].$$

- This value may depend on {*G_n*}, the base of neighbourhoods of 1.
- Open subgroups always have Hausdorff dimension 1.

Definition

If G is a countably based pro-p group then the spectrum of G is

Spec
$$G = \{ Hdim H \mid H \text{ is a closed subgroup of } G \}.$$

Theorem (Barnea-Shalev)

If G is a p-adic analytic pro-p group of dimension d, and we take $G_n = G^{p^n}$ then

$$\mathsf{Spec}(\mathsf{G}) \subseteq \left\{0, \frac{1}{d}, \dots, \frac{d-1}{d}, 1\right\}$$

is finite and consists of rational numbers.

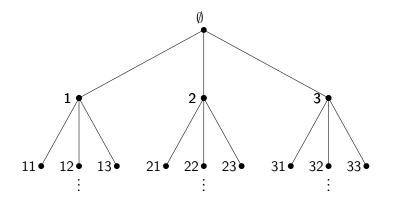


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The *p*-adic tree



- Here p = 3. We denote this tree by \mathcal{T}_p , or only \mathcal{T} if p is fixed.
- Vertices are words in the alphabet $\{1, \ldots, p\}$, and \mathcal{T} is structured into *levels* of vertices of the same length.

An *automorphism* of \mathcal{T} is a bijection of the vertices that preserves incidence. All automorphisms of \mathcal{T} form a group Aut \mathcal{T} .

If $f \in Aut \mathcal{T}$, then

- f fixes the root \emptyset .
- *f* preserves the levels (*f* preserves the distance and the *n*-th level is the sphere of radius *n* centred at the root).
- The image of a vertex under *f* determines the images of all its 'predecessors'.

Labels of $f \in Aut \mathcal{T}$ are permutations of S_p which describe, at every vertex v, how f acts on the descendants of v.

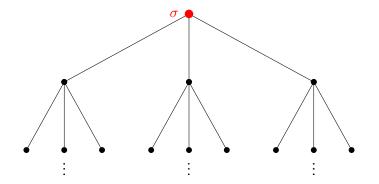
If σ is the label of f at v, then we have

 $f(vi) = f(v)\sigma(i)$, for every i = 1, ..., p.

Labels can be used to *describe* a given automorphism, or to *construct* a new one.

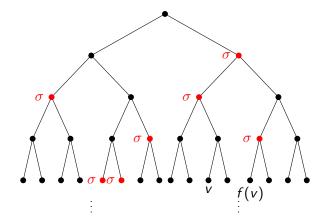
A rooted automorphism

What is for example, the automorphism with label $\sigma = (1 \ 2 \ \dots \ p)$ at the root, and 1 elsewhere?



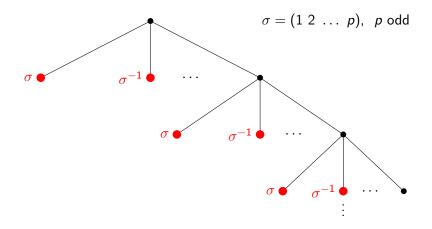
It permutes rigidly the *p* subtrees under the root as indicated by σ . This is the *rooted automorphism* corresponding to σ .

Another example via labels



What is the image of vertex v?

Yet another example...



All labels are 1 except for vertices of the form p.!.p1 and p.!.p2 for $i \ge 0$, which are σ and σ^{-1} , respectively.

Let p be odd, and define $a, b \in Aut \mathcal{T}$ as follows:

- *a* is the rooted automorphism corresponding to $(1 \ 2 \ \dots \ p)$.
- *b* is the automorphism on the previous slide.

Then both a and b are of order p.

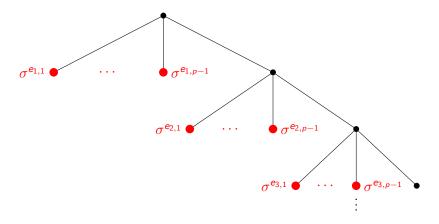
Definition

Then $G = \langle a, b \rangle$ is the *Gupta-Sidki* group for the prime *p*.

The Gupta-Sidki group is very interesting. For example, it is a counterexample to the General Burnside Problem: it is finitely generated, periodic, but infinite.

Generalising the automorphism b

Let $\mathbf{E} = (e_{i,j})$ be an integer matrix with infinitely many rows and p - 1 columns (p odd again). We define $b_{\mathbf{E}}$ via labels:



All labels are 1 except for vertices $p. \overset{i}{.} pj$, which are $\sigma^{e_{i,j}}$.

Let us write the *i*th row of **E** as

$$\mathbf{e}_i = (e_{i,1},\ldots,e_{i,p-1}).$$

This is the vector defining labels at the *i*th level of the tree.

We require that $\mathbf{e}_i \neq (0, ..., 0) \pmod{p}$ for all $i \ge 1$, i.e. that no level of the tree has all labels equal to 1.

Then we generalise the Gupta-Sidki group as follows:

$$G = \langle a, b_{\mathsf{E}} \rangle.$$

The ordinary Gupta-Sidki group corresponds to the choice

$${f e}_i = (1, -1, 0, \dots, 0)$$
 for every $i \ge 1$.



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Aut ${\mathcal T}$ is a profinite group

Theorem

If $\mathcal{T}(n)$ denotes the tree \mathcal{T} truncated at the nth level (and including it), then we have

$$\operatorname{Aut} \mathcal{T} \cong \varprojlim_{n \to \infty} \operatorname{Aut} \mathcal{T}(n).$$

So $\operatorname{Aut} \mathcal{T}$ is a profinite group.

Definition

The *nth level stabiliser*, Stab(n), is the subgroup of Aut \mathcal{T} consisting of all automorphisms that fix every vertex at the *n*th level.

Theorem

We have $\operatorname{Aut} \mathcal{T}(n) \cong \operatorname{Aut} \mathcal{T}/\operatorname{Stab}(n)$ and $\{\operatorname{Stab}(n)\}_{n \in \mathbb{N}}$ is a base of neighbourhoods of 1 in $\operatorname{Aut} \mathcal{T}$.

Let us denote by σ the permutation $(1 \ 2 \ \dots \ p)$.

Definition

Let Γ be the set of $f \in \operatorname{Aut} \mathcal{T}$ with the property that all labels of f are powers of σ .

Theorem

The group Γ is a pro-p group, and furthermore a Sylow pro-p subgroup of Aut \mathcal{T} . A base of neighbourhoods of 1 is given by the subgroups $\operatorname{Stab}_{\Gamma}(n) = \Gamma \cap \operatorname{Stab}(n)$.

Observe that the generalised Gupta-Sidki groups $G_{\rm E}$ introduced before are all subgroups of Γ .

The pro-*p* group Γ can be seen as a metric space with respect to the distance induced by the subgroups ${Stab}_{\Gamma}(n)_{n \in \mathbb{N}}$. Recall that

$$d(f,g) = \inf \Big\{ \frac{1}{|\Gamma: \operatorname{Stab}_{\Gamma}(n)|} \mid f \equiv g \pmod{\operatorname{Stab}_{\Gamma}(n)} \Big\}.$$

for all $f, g \in \Gamma$.

Theorem (Abért, Virag)

We have Spec $\Gamma = [0, 1]$. More precisely, for every $s \in [0, 1]$ there exists a 3-generator subgroup G of Γ such that $\operatorname{Hdim} \overline{G} = s$, where \overline{G} is the topological closure of G.

- However, the proof is probabilistic and no example is given of subgroups of Γ of irrational or transcendental dimension.
- Siegenthaler gave the first examples of transcendental Hausdorff dimension in the particular case p = 2.

Hausdorff dimension of generalised Gupta-Sidki groups

Consider first groups G_{E} where the vectors \mathbf{e}_i are the same for all $i \ge 1$ (for example, the ordinary Gupta-Sidki group).

Let $\mathbf{e} = (e_1, \dots, e_{p-1})$ be that common vector, and let C be the circulant matrix with first row $(e_1, \dots, e_{p-1}, 0)$.

Theorem (F-A – Zugadi-Reizabal) If all \mathbf{e}_i are equal, then

Hdim
$$\overline{G_{\mathsf{E}}} = \frac{(p-1)t}{p^2} - \frac{\delta}{p^2} - \frac{\varepsilon}{(p-1)p^2}$$

where t is the rank of C, and

$$\delta = \begin{cases} 1, & \text{if } \mathbf{e} \text{ is symmetric,} \\ 0, & \text{otherwise,} \end{cases}$$
 and $\varepsilon = \begin{cases} 1, & \text{if } \mathbf{e} \text{ is constant,} \\ 0, & \text{otherwise.} \end{cases}$

The proof requires calculating the order of the quotients of G_E by its level stabilisers, an interesting result on its own.

Corollary

If all \mathbf{e}_i are equal, then the Hausdorff dimension is always rational.

But things can be very different if we allow different vectors \mathbf{e}_i ...

Theorem

By combining symmetric and non-symmetric vectors at different levels in a convenient way, one can find groups of the form G_E with Hdim $\overline{G_E}$ transcendental.

Note that these are 2-generator groups!

Actually groups of the form G_E give uncountably many different values of the Hausdorff dimension inside Γ .

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