

# Classical Hurwitz groups of low rank

M. Chiara Tamburini

Università Cattolica del Sacro Cuore, Brescia

Dedicated to David Chillag

Ischia, April 3, 2014

*Hurwitz groups* can be characterized as the finite groups that are generated by an involution and an element of order 3 such that their product has order 7.

*Hurwitz groups* can be characterized as the finite groups that are generated by an involution and an element of order 3 such that their product has order 7.

This class of groups was the subject of an intensive study since the XIX century, starting from the works of Hurwitz and Klein.

*Hurwitz groups* can be characterized as the finite groups that are generated by an involution and an element of order 3 such that their product has order 7.

This class of groups was the subject of an intensive study since the XIX century, starting from the works of Hurwitz and Klein.

In recent years there was growing interest in this area. In particular simple (and quasi-simple) finite groups were investigated with respect to being or not being Hurwitz.

*Hurwitz groups* can be characterized as the finite groups that are generated by an involution and an element of order 3 such that their product has order 7.

This class of groups was the subject of an intensive study since the XIX century, starting from the works of Hurwitz and Klein.

In recent years there was growing interest in this area. In particular simple (and quasi-simple) finite groups were investigated with respect to being or not being Hurwitz.

Several authors contributed to this investigation, like Conder, Zaleski, Malle, R. Wilson, Woldar, Vsemirnov, T., Lucchini, J. Wilson, and many others.

The answer is known for:

- alternating groups,
- sporadic groups,
- some exceptional groups of Lie type,
- many series of classical matrix groups of large rank.

The answer is known for:

- alternating groups,
- sporadic groups,
- some exceptional groups of Lie type,
- many series of classical matrix groups of large rank.

For classical groups *large* means

- rank  $\geq 250$  for special linear groups,
- rank  $\geq 400$  for other classical groups.

The answer is known for:

- alternating groups,
- sporadic groups,
- some exceptional groups of Lie type,
- many series of classical matrix groups of large rank.

For classical groups *large* means

- rank  $\geq 250$  for special linear groups,
- rank  $\geq 400$  for other classical groups.

For intermediate ranks there are many positive results proved by M.Vsemirnov.



The answer is known for:

- alternating groups,
- sporadic groups,
- some exceptional groups of Lie type,
- many series of classical matrix groups of large rank.

For classical groups *large* means

- rank  $\geq 250$  for special linear groups,
- rank  $\geq 400$  for other classical groups.

For intermediate ranks there are many positive results proved by M.Vsemirnov.

Exact Hurwitz generators are constructed, based on Conder's generators for the alternating groups.

The answer is known for:

- alternating groups,
- sporadic groups,
- some exceptional groups of Lie type,
- many series of classical matrix groups of large rank.

For classical groups *large* means

- rank  $\geq 250$  for special linear groups,
- rank  $\geq 400$  for other classical groups.

For intermediate ranks there are many positive results proved by M.Vsemirnov.

Exact Hurwitz generators are constructed, based on Conder's generators for the alternating groups.

The low-rank case is more difficult and requires different methods, based on the knowledge of characters and maximal subgroups.

My talk will concentrate concentrate on this case.

Let  $\mathbb{F}$  be an algebraically closed field of characteristic  $p \geq 0$  and  $\langle x, y \rangle$  be an irreducible subgroup of  $GL_n(\mathbb{F})$ .

Let  $\mathbb{F}$  be an algebraically closed field of characteristic  $p \geq 0$  and  $\langle x, y \rangle$  be an irreducible subgroup of  $GL_n(\mathbb{F})$ .

By Scott's formula, applied to the conjugation action of  $GL_n(\mathbb{F})$  on  $M := \text{Mat}_n(\mathbb{F})$ :

$$\dim(C_M(x)) + \dim(C_M(y)) + \dim(C_M(xy)) \leq n^2 + 2. \quad (1)$$

Let  $\mathbb{F}$  be an algebraically closed field of characteristic  $p \geq 0$  and  $\langle x, y \rangle$  be an irreducible subgroup of  $GL_n(\mathbb{F})$ .

By Scott's formula, applied to the conjugation action of  $GL_n(\mathbb{F})$  on  $M := \text{Mat}_n(\mathbb{F})$ :

$$\dim(C_M(x)) + \dim(C_M(y)) + \dim(C_M(xy)) \leq n^2 + 2. \quad (1)$$

The triple  $(x, y, xy)$  is called *rigid* when  $\langle x, y \rangle$  is irreducible and equality holds in (1).

Let  $\mathbb{F}$  be an algebraically closed field of characteristic  $p \geq 0$  and  $\langle x, y \rangle$  be an irreducible subgroup of  $GL_n(\mathbb{F})$ .

By Scott's formula, applied to the conjugation action of  $GL_n(\mathbb{F})$  on  $M := \text{Mat}_n(\mathbb{F})$ :

$$\dim(C_M(x)) + \dim(C_M(y)) + \dim(C_M(xy)) \leq n^2 + 2. \quad (1)$$

The triple  $(x, y, xy)$  is called *rigid* when  $\langle x, y \rangle$  is irreducible and equality holds in (1).

All triples with the same similarity invariants of a rigid triple are conjugate (Strambach and Volklein).

Let  $\mathbb{F}$  be an algebraically closed field of characteristic  $p \geq 0$  and  $\langle x, y \rangle$  be an irreducible subgroup of  $GL_n(\mathbb{F})$ .

By Scott's formula, applied to the conjugation action of  $GL_n(\mathbb{F})$  on  $M := \text{Mat}_n(\mathbb{F})$ :

$$\dim(C_M(x)) + \dim(C_M(y)) + \dim(C_M(xy)) \leq n^2 + 2. \quad (1)$$

The triple  $(x, y, xy)$  is called *rigid* when  $\langle x, y \rangle$  is irreducible and equality holds in (1).

All triples with the same similarity invariants of a rigid triple are conjugate (Strambach and Volklein).

More explicitly, if the triple  $(x, y, xy)$  is rigid and  $x', y', x'y'$  are respectively conjugate to  $x, y, xy$ , there exists  $g \in GL_n(\mathbb{F})$  s.t.:

$$x' = x^g, \quad y' = y^g.$$

In particular  $\langle x', y' \rangle$  is conjugate to  $\langle x, y \rangle$ .

For  $n \leq 5$ , all the irreducible triples of  $GL_n(\mathbb{F})$  whose projective images have orders  $(2, 3, 7)$  are rigid.



For  $n \leq 5$ , all the irreducible triples of  $GL_n(\mathbb{F})$  whose projective images have orders  $(2, 3, 7)$  are rigid.

As a consequence of this, any series of classical groups of dimension  $n \leq 5$  over  $\mathbb{F}_{p^m}$  contains a Hurwitz group for at most one value of  $m$ .

For  $n \leq 5$ , all the irreducible triples of  $GL_n(\mathbb{F})$  whose projective images have orders  $(2, 3, 7)$  are rigid.

As a consequence of this, any series of classical groups of dimension  $n \leq 5$  over  $\mathbb{F}_{p^m}$  contains a Hurwitz group for at most one value of  $m$ .

### List of Hurwitz simple groups of dimension $n \leq 5$ .

- $PSL_2(p^{m_2})$  (Macbeath);
- $PSL_5(p^{m_5})$ , if  $m_5$  is odd and  $p \neq 5$ ; (Zaleski, T.)
- $PSU_5(p^{m_5})$ , if  $m_5$  is even and  $p \neq 5$ .

$$\begin{cases} m_2 := \text{order}(p^2) \pmod{7} \text{ if } p \neq 7 \\ m_2 := 1 \text{ if } p = 7. \end{cases}$$

$$\begin{cases} m_5 := \text{order}(p) \pmod{5} \times \text{order}(p^2) \pmod{7} \text{ if } p \neq 5, 7 \\ m_5 := 4 \text{ if } p = 7. \end{cases}$$

In joint work with M. Vsemirnov (still in progress):

In joint work with M. Vsemirnov (still in progress):

- we classified all the admissible similarity invariants (with respect to Scott's formula) of triples

$$(x, y, xy) \in \mathrm{SL}_n(\mathbb{F})^3, \quad n \leq 7 \quad (2)$$

such that  $x^2$ ,  $y^3$  and  $(xy)^7$  are scalar matrices and the group  $\langle x, y \rangle$  is irreducible;

In joint work with M. Vsemirnov (still in progress):

- we classified all the admissible similarity invariants (with respect to Scott's formula) of triples

$$(x, y, xy) \in \mathrm{SL}_n(\mathbb{F})^3, \quad n \leq 7 \quad (2)$$

such that  $x^2$ ,  $y^3$  and  $(xy)^7$  are scalar matrices and the group  $\langle x, y \rangle$  is irreducible;

- for every fixed kind of similarity invariants we parametrized the corresponding triples, up to conjugation, obtaining several parametric families (not necessarily disjoint);

In joint work with M. Vsemirnov (still in progress):

- we classified all the admissible similarity invariants (with respect to Scott's formula) of triples

$$(x, y, xy) \in \mathrm{SL}_n(\mathbb{F})^3, \quad n \leq 7 \quad (2)$$

such that  $x^2$ ,  $y^3$  and  $(xy)^7$  are scalar matrices and the group  $\langle x, y \rangle$  is irreducible;

- for every fixed kind of similarity invariants we parametrized the corresponding triples, up to conjugation, obtaining several parametric families (not necessarily disjoint);
- for all rigid triples, defined over a finite field, we identified the group  $H = \langle x, y \rangle$ .

In joint work with M. Vsemirnov (still in progress):

- we classified all the admissible similarity invariants (with respect to Scott's formula) of triples

$$(x, y, xy) \in \mathrm{SL}_n(\mathbb{F})^3, \quad n \leq 7 \quad (2)$$

such that  $x^2$ ,  $y^3$  and  $(xy)^7$  are scalar matrices and the group  $\langle x, y \rangle$  is irreducible;

- for every fixed kind of similarity invariants we parametrized the corresponding triples, up to conjugation, obtaining several parametric families (not necessarily disjoint);
- for all rigid triples, defined over a finite field, we identified the group  $H = \langle x, y \rangle$ .

(A different approach to this classification is also sketched in a paper of Plesken and Robertson, but without the elimination of singularities and reducible triples).

## Dimension 6.

Here we have the first occurrence of non-rigid Hurwitz groups.



## Dimension 6.

Here we have the first occurrence of non-rigid Hurwitz groups.

The only similarity invariants corresponding to non-rigid irreducible triples  $(x, y, xy)$  are:

- $t^2 + 1, t^2 + 1, t^2 + 1$  for  $x$ ,
- $t^3 - 1, t^3 - 1$  for  $y$ ,
- $t^6 + t^5 + t^4 + t^3 + t^2 + t + 1$  for  $xy$ .

## Dimension 6.

Here we have the first occurrence of non-rigid Hurwitz groups.

The only similarity invariants corresponding to non-rigid irreducible triples  $(x, y, xy)$  are:

- $t^2 + 1, t^2 + 1, t^2 + 1$  for  $x$ ,
- $t^3 - 1, t^3 - 1$  for  $y$ ,
- $t^6 + t^5 + t^4 + t^3 + t^2 + t + 1$  for  $xy$ .

Non-rigid as  $18 + 12 + 6 = 36 < 6^2 + 2$ .

## Dimension 6.

Here we have the first occurrence of non-rigid Hurwitz groups.

The only similarity invariants corresponding to non-rigid irreducible triples  $(x, y, xy)$  are:

- $t^2 + 1, t^2 + 1, t^2 + 1$  for  $x$ ,
- $t^3 - 1, t^3 - 1$  for  $y$ ,
- $t^6 + t^5 + t^4 + t^3 + t^2 + t + 1$  for  $xy$ .

Non-rigid as  $18 + 12 + 6 = 36 < 6^2 + 2$ .

Every  $g \in \mathrm{GL}_n(\mathbb{F})$  acts on the space  $\Lambda^2$  of skew-symmetric matrices  $A \in \mathrm{Mat}_n(\mathbb{F})$  with zero diagonals via:

$$A \mapsto gAg^T$$

Application of Scott's formula to  $\Lambda^2$  and its dual gives that  $\langle x, y \rangle$ , preserves a non-deg. skew-symmetric matrix with 0-diagonal.

## Dimension 6.

Here we have the first occurrence of non-rigid Hurwitz groups.

The only similarity invariants corresponding to non-rigid irreducible triples  $(x, y, xy)$  are:

- $t^2 + 1, t^2 + 1, t^2 + 1$  for  $x$ ,
- $t^3 - 1, t^3 - 1$  for  $y$ ,
- $t^6 + t^5 + t^4 + t^3 + t^2 + t + 1$  for  $xy$ .

Non-rigid as  $18 + 12 + 6 = 36 < 6^2 + 2$ .

Every  $g \in \text{GL}_n(\mathbb{F})$  acts on the space  $\Lambda^2$  of skew-symmetric matrices  $A \in \text{Mat}_n(\mathbb{F})$  with zero diagonals via:

$$A \mapsto gAg^T$$

Application of Scott's formula to  $\Lambda^2$  and its dual gives that  $\langle x, y \rangle$ , preserves a non-deg. skew-symmetric matrix with 0-diagonal.

So these triples can only generate subgroups of symplectic groups.

Up to conjugation, each (non-rigid) triple having the previous similarity invariants, belongs to at least one of five parametric families:

$$(I), (IIa), (IIb), \dots$$

Up to conjugation, each (non-rigid) triple having the previous similarity invariants, belongs to at least one of five parametric families:

$$(I), (IIa), (IIb), \dots$$

From family (IIa) we obtain the 1-parametric family:

$$x = \begin{pmatrix} 0 & 0 & -1 & 0 & r & -1 \\ 0 & 0 & 0 & -1 & 0 & a \\ 1 & 0 & 0 & 0 & -1 & -r \\ 0 & 1 & 0 & 0 & a & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \quad y = \begin{pmatrix} 1 & 0 & 0 & 0 & -1 & c \\ 0 & 1 & 0 & 0 & b & 1 \\ 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 & 0 & -1 \end{pmatrix} \quad (3)$$

$$a = \frac{2r^2 - 7r + 7}{r^2 - 3r + 3}, \quad b = \frac{-r^3 + 7r^2 - 16r + 13}{r^2 - 3r + 3}, \quad c = \frac{-r^3 + 2r^2 - r - 1}{r^2 - 3r + 3}.$$

Consideration of maximal subgroups of  $\mathrm{Sp}_6(p^m)$  leads to conclude

$$\langle x, y \rangle = \mathrm{Sp}_6(q)$$

whenever  $r \in \mathbb{F}_q$  satisfies an explicit list of conditions.

Consideration of maximal subgroups of  $\mathrm{Sp}_6(p^m)$  leads to conclude

$$\langle x, y \rangle = \mathrm{Sp}_6(q)$$

whenever  $r \in \mathbb{F}_q$  satisfies an explicit list of conditions.

The main of these conditions are:

- 1)  $r^2 - 3r + 3 \neq 0$  (for the definition of  $y$ );
- 2)  $r$  is not a root of a certain polynomial of degree 12 (for the irreducibility of  $\langle x, y \rangle$ );
- 3)  $\mathbb{F}_{p^m} = \mathbb{F}_p [r^2 - 3r + 3]$  (for the minimal field of definition).



Consideration of maximal subgroups of  $\mathrm{Sp}_6(p^m)$  leads to conclude

$$\langle x, y \rangle = \mathrm{Sp}_6(q)$$

whenever  $r \in \mathbb{F}_q$  satisfies an explicit list of conditions.

The main of these conditions are:

- 1)  $r^2 - 3r + 3 \neq 0$  (for the definition of  $y$ );
- 2)  $r$  is not a root of a certain polynomial of degree 12 (for the irreducibility of  $\langle x, y \rangle$ );
- 3)  $\mathbb{F}_{p^m} = \mathbb{F}_p [r^2 - 3r + 3]$  (for the minimal field of definition).

### Theorem

*Let  $q \geq 5$ ,  $q$  odd. There exists  $r \in \mathbb{F}_q$  satisfying our list of conditions. In particular  $\mathrm{PSp}_6(q)$  is a Hurwitz group.*

Consideration of maximal subgroups of  $\mathrm{Sp}_6(p^m)$  leads to conclude

$$\langle x, y \rangle = \mathrm{Sp}_6(q)$$

whenever  $r \in \mathbb{F}_q$  satisfies an explicit list of conditions.

The main of these conditions are:

- 1)  $r^2 - 3r + 3 \neq 0$  (for the definition of  $y$ );
- 2)  $r$  is not a root of a certain polynomial of degree 12 (for the irreducibility of  $\langle x, y \rangle$ );
- 3)  $\mathbb{F}_{p^m} = \mathbb{F}_p [r^2 - 3r + 3]$  (for the minimal field of definition).

### Theorem

*Let  $q \geq 5$ ,  $q$  odd. There exists  $r \in \mathbb{F}_q$  satisfying our list of conditions. In particular  $\mathrm{PSp}_6(q)$  is a Hurwitz group.*

- $\mathrm{PSp}_6(2^m)$  and  $\mathrm{PSp}_6(3)$  are not Hurwitz (Vincent and Zalesski);
- for  $p$  odd,  $\mathrm{Sp}_6(p^m)$  is not even  $(2, 3)$ -generated (Vsevolodov).

$q$  even

**$q$  even**

Malle (1990) has shown that the following groups are Hurwitz

$$G_2(q), q \neq 2, 3, 4, \quad {}^2G_2(q), q \neq 3^{2m+1}$$

We have evidence that, for  $q \geq 8$ , an appropriate choice of  $r \in \mathbb{F}_q$ , the matrices in (3) generate  $G_2(q)$ .

**$q$  even**

Malle (1990) has shown that the following groups are Hurwitz

$$G_2(q), q \neq 2, 3, 4, \quad {}^2G_2(q), q \neq 3^{2m+1}$$

We have evidence that, for  $q \geq 8$ , an appropriate choice of  $r \in \mathbb{F}_q$ , the matrices in (3) generate  $G_2(q)$ .

From family I the Hall Janko group  $J_2$  can be obtained.

**$q$  even**

Malle (1990) has shown that the following groups are Hurwitz

$$G_2(q), q \neq 2, 3, 4, \quad {}^2G_2(q), q \neq 3^{2m+1}$$

We have evidence that, for  $q \geq 8$ , an appropriate choice of  $r \in \mathbb{F}_q$ , the matrices in (3) generate  $G_2(q)$ .

From family I the Hall Janko group  $J_2$  can be obtained.

All the remaining admissible triples in dimension 6 are rigid. They have been completely analyzed, obtaining only the following Hurwitz simple groups:

**$q$  even**

Malle (1990) has shown that the following groups are Hurwitz

$$G_2(q), q \neq 2, 3, 4, \quad {}^2G_2(q), q \neq 3^{2m+1}$$

We have evidence that, for  $q \geq 8$ , an appropriate choice of  $r \in \mathbb{F}_q$ , the matrices in (3) generate  $G_2(q)$ .

From family I the Hall Janko group  $J_2$  can be obtained.

All the remaining admissible triples in dimension 6 are rigid. They have been completely analyzed, obtaining only the following Hurwitz simple groups:

- $\mathrm{PSL}_6(p^{m_6})$  if  $m_6$  is odd and  $p \neq 3$ ;
- $\mathrm{PSU}_6(p^{m_6})$  if  $m_6$  is even and  $p \neq 3$ ;  
 $m_6 := \mathrm{order}(p) \pmod{9}$ .

## Rank 7.

The only non-rigid triples  $(x, y, xy) \in \mathrm{SL}_6(\mathbb{F})^3$  have similarity invariants:

- $t + 1, t^2 - 1, t^2 - 1, t^2 - 1$  for  $x$ ,
- $t - 1, t^3 - 1, t^3 - 1$  for  $y$ ,
- $t^7 - 1$  for  $xy$ .



## Rank 7.

The only non-rigid triples  $(x, y, xy) \in \mathrm{SL}_6(\mathbb{F})^3$  have similarity invariants:

- $t + 1, t^2 - 1, t^2 - 1, t^2 - 1$  for  $x$ ,
- $t - 1, t^3 - 1, t^3 - 1$  for  $y$ ,
- $t^7 - 1$  for  $xy$ .

Application of Scott's formula to the space of symmetric matrices (viewed as a  $GL_7(\mathbb{F})$ -module via the action described above) and its dual gives that  $\langle x, y \rangle$ , when irreducible, preserves a non-degenerate symmetric matrix.

## Rank 7.

The only non-rigid triples  $(x, y, xy) \in \mathrm{SL}_6(\mathbb{F})^3$  have similarity invariants:

- $t + 1, t^2 - 1, t^2 - 1, t^2 - 1$  for  $x$ ,
- $t - 1, t^3 - 1, t^3 - 1$  for  $y$ ,
- $t^7 - 1$  for  $xy$ .

Application of Scott's formula to the space of symmetric matrices (viewed as a  $GL_7(\mathbb{F})$ -module via the action described above) and its dual gives that  $\langle x, y \rangle$ , when irreducible, preserves a non-degenerate symmetric matrix.

So, analysing these triples, we can only obtain subgroups of orthogonal groups.

All the rigid triples have been analyzed, giving only the following Hurwitz simple groups:

$m_7 := \text{order}(p) \pmod{49}$ .

- $\mathrm{PSL}_7(p^{m_7})$  if  $m_7$  is odd and  $p \neq 7$ ;

## Classical simple groups which are not $(2, 3)$ -generated

- $\mathrm{PSL}_2(9)$
- $\mathrm{PSL}_3(4)$ ,  $\mathrm{PSU}_3(9)$ ,  $\mathrm{PSU}_3(25)$ ,
- $\mathrm{PSL}_4(2)$ ,  $\mathrm{PSU}_4(4) \simeq \mathrm{PSp}_4(3)$ ,  $\mathrm{PSU}_4(9)$ ,  $\mathrm{PSp}_4(p^k)$ ,  $p = 2, 3$
- $\mathrm{PSU}_5(4)$
- $\Omega_8^+(2)$ ,  $\mathrm{P}\Omega_8^+(3)$

This list is

certainly complete for classical groups of degree  $n \leq 5$  (joint work with Pellegrini and Vsemirnov);

almost complete for  $\mathrm{PSL}_n(q)$  (work of T. , joint work of Di Martino and Vavilov, more recent work of Tabakov and Tchakerian).