

The First-Order Theory of Finite Groups

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Ischia, 21 March 2018

First-order sentences/formulae

$(\forall x \forall y \forall z)([x, y, z] = 1)$	G nilp. of class ≤ 2	Yes!
$(\forall x \in G')(\forall z)([x, z] = 1)$	G nilp. of class ≤ 2	No!
$(\forall x_1 \forall x_2 \forall x_3 \forall x_4)(\exists y_1, y_2)([x_1, x_2][x_3, x_4] = [y_1, y_2])$ every element of G' is a commutator		
$(\forall x_1 \forall x_2 \exists y)(y \neq x_1 \wedge y \neq x_2)$	$ G \geq 3$	
$(\forall x_1 \forall x_2 \forall x_3 \forall x_4)(\bigvee_{1 \leq i < j \leq 4} x_i = x_j)$	$ G \leq 3$	
$(\forall x)(x^6 = 1 \rightarrow x = 1)$	no elements of order 2, 3	
$g^4 = 1 \wedge g^2 \neq 1$	g has order 4	
$(\forall k \neq 1)(\forall g)(\exists r \in \mathbb{N})(\exists x_1, \dots, x_r)(g = k^{x_1} k^{x_2} \dots k^{x_r})$	simple	No!

Classes of finite groups defined by a sentence

(\exists only \aleph_0 such!)

(1) {groups of order $\leq n$ }, {groups of order $\geq n$ }, {groups with no elements of order n }

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(1) {groups of order $\leq n$ }, {groups of order $\geq n$ }, {groups with no elements of order n }

(2) **Felgner's Theorem (1990)**. \exists sentence σ (in the f.-o. language of group theory) such that, for G finite, $G \models \sigma \Leftrightarrow G$ is non-abelian simple.

$\sigma = \sigma_1 \wedge \sigma_2$ with

σ_1 : $(\forall x \forall y)(x \neq 1 \wedge C_G(x, y) \neq \{1\} \rightarrow \bigcap_{g \in G} (C_G(x, y) C_G(C_G(x, y)))^g = \{1\})$,

σ_2 : 'each element is a product of κ_0 commutators' for a fixed $\kappa_0 \in \mathbb{N}$.

(In fact we can now take $\kappa_0 = 1$ from verification of Oré conjecture (finished by Liebeck, O'Brien, Shalev, Tiep, 2010):

all elements of non-abelian (finite) simple groups are commutators.)

σ_1 works as finite simple groups are 2-generator groups.

Ulrich Felgner



A group G is **quasisimple** if G perfect and $G/Z(G)$ simple

Proposition (JSW 2017) A finite group G is quasisimple iff Q satisfies $QS_1 \wedge QS_2 \wedge QS_3$:

QS_1 : each element is a product of two commutators;

QS_2 : $(\forall x)(\forall u)[x, x^u] \in Z(G) \rightarrow x \in Z(G)$;

QS_3 :
 $(\forall x \forall y)(x \notin Z(G) \wedge C_G(x, y) > Z(G)) \rightarrow \bigcap_{g \in G} (C_G(x, y) C_G^2(x, y))^g = Z(G)$.

($C_G^2(G)$ stands for $C_G C_G(G)$.)

Soluble groups:

They are characterized by 'no $g \neq 1$ is a prod. of commutators $[g^h, g^k]$ ';
that is, ρ_n holds $\forall n$

$$\rho_n: (\forall g \forall x_1 \dots \forall x_n \forall y_1 \dots \forall y_n)(g = 1 \vee g \neq [g^{x_1}, g^{y_1}] \dots [g^{x_n}, g^{y_n}]).$$

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Theorem (JSW 2005) Finite G is soluble iff it satisfies ρ_{56} .

Definable sets

... sets of elements $g \in G$ (or in $G^{(n)} = G \times \cdots \times G$) defined by **first-order formulae**, possibly with parameters from G .

Examples: • $Z(G)$, defined by $(\forall y)([x, y] = 1)$

• $C_G(h)$, defined by $[x, h] = 1$

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• **Centralizers of definable sets are definable:**

Say $S = \{s \mid \varphi(s)\}$; then $C_G(S) = \{t \mid \forall g(\varphi(g) \rightarrow [g, t] = 1)\}$

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So \exists f.o. formula ω_h with $\omega_h(g)$ iff $g \in C_G^2(W_h)$

• $\delta(x, y)$: $\delta(h_1, h_2)$ iff $C_G^2(W_{h_1}) = C_G^2(W_{h_2})$

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• $\exists \beta(x)$: $\beta(h)$ iff $C_G^2(W_h)$ commutes with its distinct conjugates.

The (*soluble*) *radical* $R(G)$ of a finite group G is the largest soluble normal subgroup of G .

Theorem (JSW 2008) There's a f.-o. formula $r(x)$ such that if G is finite and $g \in G$ then $g \in R(G)$ iff $r(g)$ holds in G .

G finite: **component** = quasisimple subgroup Q that commutes with its distinct G -conjugates ($\Leftrightarrow Q$ subnormal).

Theorem (JSW 2017) \exists f.o. formulae $\pi(h, y)$, $\pi'(h)$, $\pi'_c(h)$, $\pi'_m(h)$ such that for every finite G , the products of components of G are the sets $\{x \mid \pi(h, x)\}$ for the $h \in G$ satisfying $\pi'(h)$.

The components: the sets $\{x \mid \pi(h, x)\}$ for which $\pi'_c(h)$ holds.

The non-ab. min. normal subgps.: $\{x \mid \pi(h, x)\}$ with $\pi'_m(h)$.

Lemma. Let M be a product of some components Q_i of finite G , let $X \subseteq M$ have non-trivial projection in each $Q_i/Z(Q_i)$. Then
 (a) $M = \langle X^g \mid g \in M, [X, X^g] \neq 1 \rangle$.

Chris Parker's nicer proof of (a).

$H := \langle X \rangle$. So $[X, X^g] \neq 1 \Leftrightarrow [H, H^g] \neq 1$.

$\langle H^g \mid g \in M \rangle \triangleleft M$, all projections $\neq 1$, so $\langle H^g \mid g \in M \rangle = M$. Let $K = \langle H^g \mid [H, H^g] \neq 1 \rangle$.

$N_M(H)$: contains the H^g that commute with H ;
 permutes the H^g that don't.

So $N_M(H)$ normalizes K . Thus $\langle H^g \mid g \in M \rangle \leq \langle K, N_M(H) \rangle = N_M(H)K$ and $M = N_M(H)K$.

$\exists g_0 \in M$ with $H^{g_0} \leq K$.

Let $g \in M$, let $g_0 = n_0 k_0$, $g = n k$ with $n_0, n \in N_M(H)$, $k_0, k \in K$.

Then $H^g = H^{n n_0^{-1} g_0 k_0^{-1} k} = H^{g_0 k_0^{-1} k} \leq K^{k_0^{-1} k} = K$.

For $h \in G$ define

$$X_h = \{[h^{-1}, h^g] \mid g \in G\} \quad \text{and} \quad W_h = \bigcup (X_h^f \mid f \in G, [X_h, X_h^f] \neq 1).$$

Lemma. Let M be a product of some components Q_i of finite G , let $X \subseteq M$ have non-trivial projection in each $Q_i/Z(Q_i)$. Then

(a) $M = \langle X^g \mid g \in M, [X, X^g] \neq 1 \rangle$.

(b) If also $[M, M^g] = 1$ whenever $M^g \neq M$ and $X = \{h\}$ then $M = \langle W_h \rangle$.

(a) \Rightarrow (b) is easy.

Fact. If S is a component of a finite group G then $S \triangleleft C_G^2(S)$.

Define δ_r for $r \geq 1$ recursively by $\delta_1(x_1, x_2) = [x_1, x_2]$ and $\delta_r(x_1, \dots, x_{2^r}) = [\delta_{r-1}(x_1, \dots, x_{2^{r-1}}), \delta_{r-1}(x_{2^{r-1}+1}, \dots, x_{2^r})]$ for $r > 1$.

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Begin with:

$$\varphi(h, x): (\exists y)(x = [h^{-1}, h^y]) \quad (\text{defines } X_h)$$

$$\psi(h, x): (\exists t \exists y_1 \exists y_2)(\varphi(h, y_1) \wedge \varphi(h^t, y_2) \wedge \varphi(h^t, x) \wedge [y_1, y_2] \neq 1) \quad (\text{defines } W_h)$$

$$\gamma^1(h, x): (\forall y)(\psi(h, y) \rightarrow [x, y] = 1) \quad C_G(W_h)$$

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$$\alpha^1(h, x): (\exists y_1 \dots \exists y_{16})((\bigwedge_{n=1}^{16} \gamma(h, y_n)) \wedge x = \delta_4(y_1, \dots, y_{16})) \quad \delta_4\text{-value in } C_G^2(W_h)$$

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Let G be finite, Q a component. If $h \in Q \setminus Z(Q)$ then $Q = \langle W_h \rangle$, so $Q \leq C_G^2(W_h)$.

Show $Q = \text{set of prods. of 2 } \delta_4\text{-values in } C_G^2(W_h)$, so $Q = \{x \mid \alpha(h, x)\}$.

Ultraproducts

Let $(G_i \mid i \in I)$ be an infinite family of groups.

An ultraproduct U is a certain type of quotient of $C := \prod G_i$, Cartesian product containing all 'sequences' (g_i) with $g_i \in G_i$, with the foll. property (Los' Theorem):

If θ a first-order sentence and $G_i \models \theta$ for all but finitely many i then $U \models \theta$.

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Similarly for ultraproducts U of fields F_i . (First order in language of field theory—or ordered field theory if all F_i are ordered fields.)

If all $F_i \cong \mathbb{R}$ then U is a field containing \mathbb{R} with infinitesimals:

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An ultraproduct of finite groups of unbounded order is **an infinite group satisfying all f.-o. sentences valid in all finite groups**: something like a finite group with infinitesimals.

Gottfried Wilhelm Leibniz (1646–1716), conceiver of infinitesimals,
towering above us all



Some sentences valid for all finite groups

- $x \mapsto x^n$ injective iff $x \mapsto x^n$ surjective:

$$(\forall x_1 \forall x_2)(x_1^n = x_2^n \rightarrow x_1 = x_2) \leftrightarrow (\forall x \exists y)(x = y^n)$$

- $C_G(x) \leq C_G(x^y) \rightarrow C_G(x) = C_G(x^y)$

- Higman:

$\langle x, y, z, w \mid x^y = x^2, y^z = y^2, z^w = z^2, w^x = w^2 \rangle$ is non-trivial but has no finite images $\neq 1$.

So finite groups satisfy

$$(\forall a, b, c, d)(a^b \neq a^2 \vee b^c \neq b^2 \vee c^d \neq c^2 \vee d^a \neq d^2 \vee a = 1).$$

Pseudo-finite (psf) groups

... infinite models for the theory of finite groups; i.e., **infinite groups satisfying all first-order sentences valid in all finite groups.**

First studied by **Felgner**; further study by me, Macpherson + Tent, and Ould-Houcine + Point.

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Psf examples. (1) Ultraproducts.

(2) If K is a psf field, L a Lie type and if $G \cong L(K)$, then G is **simple psf**.
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Theorem (JSW 1995 (+Ryten 2007)). If G is simple psf then $G \cong L(K)$ for some psf field F and Lie type L .

A psf group S is **definably simple** if \nexists **definable** normal subgroups except $1, S$.

Definably simple groups need not be simple

Proposition (Felgner). If $G \equiv$ an UP of $\{A_n \mid n \geq 5\}$ then G is definably simple but not simple.

G finite: **component** = perfect subgroup Q with $Q/Z(Q)$ simple that commutes with its distinct G -conjugates ($\Leftrightarrow Q$ subnormal).

G psf: **component** = definable 'perfect' subgroup Q with $Q/Z(Q)$ definably simple that commutes with its distinct conjugates.

If G is psf, then $R(G)$ and $G/R(G)$ are psf or finite.

Theorem (JSW 2017). Let G be G psf.

(a) every non-trivial definable normal subgroup contains either a non-trivial abelian normal subgroup or a non-abelian minimal definable normal subgroup of G ;

(b) each non-abelian minimal definable normal subgroup of G is $S \times C_G(S)$ for a definably simple component S ;

(c) distinct components commute, so the product of finitely many such is definable;

(d) all non-abelian minimal normal subgroups and all products in (c) have the form $\{x \mid \pi(h, x)\}$ for elements $h \in G$, with π as before.

Theorem (JSW 2017). Let G be psf with $R(G) = 1$ and with only finitely many components. Then G has a series

$$1 \leq G_1 \leq G_2 \leq G$$

of characteristic def. subgroups with G_1 the direct product of the components, G_2/G_1 metabelian, G/G_2 finite.

Similar ideas (X_h , W_h , double centralizers) used for

branch groups (JSW 2015): ambient tree is often (first-order-) interpretable in the branch group

right-ordered permutation groups (Andrew Glass, JSW 2016):

$\text{Aut}_{\leq}(\Lambda) :=$ group of order-preserving permutations of ordered set Λ .
If $\text{Aut}_{\leq}(\Lambda)$ is f.-o.-equivalent (for group language) to $\text{Aut}_{\leq}(\mathbb{R})$ then Λ is isomorphic (as ordered set) to \mathbb{R} .

What next for psf groups?

Abelian normal subgroups in definable images, Clifford theory?

Big problem: no Sylow theory. Maybe exists for $p = 2$ using structure of dihedral groups? (Altinel, Borovik, Cherlin?)

psf G is pseudo-(finite soluble) iff satisfies ρ_{56} , same for def. subgroups.

How to recognise (pseudo-)nilpotent def. subgroups H ?

E.g. $L < H$, L definable $\Rightarrow L < N_H(L)$, **def. normalizer condition for H ???**

(Carter subgroups?)

Is the Frattini subgroup pseudo-nilpotent?